



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Christopher J. Frantz et al.

Serial No.: 10/037,491

Filed: January 4, 2002

For: METHOD AND APPARATUS FOR  
INITIATING STRONG ENCRYPTION  
USING EXISTING SSL CONNECTION  
FOR SECURE KEY EXCHANGE

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Group Art Unit: Unassigned

Examiner: Unassigned

Atty. Docket: COMP:0272/FLE  
P01-3946

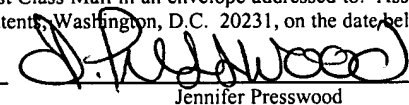
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

April 4, 2002

Date

  
Jennifer Presswood

Sir:

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. §§ 1.97(b)(1) AND 1.98**

In compliance with the duty of disclosure under 37 C.F.R. § 1.56(a), Applicant respectfully requests that this Information Disclosure Statement be entered and that the listed references be considered by the Examiner and made of record. Copies of the listed references are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R. § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made, as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b), or

as a representation that no other possibly material information, as defined in 37 C.F.R. § 1.56(b), exists.

Applicants wish to bring to the Examiner's attention the following co-pending and co-owned applications: "Method and Apparatus to Provide Enhanced Computer Protection," Application Serial No.: 09/540,697, filed March 31, 2000, "Method and Apparatus for Providing Enhanced Computer Security," Application Serial No.: 09/540,812, filed March 31, 2000, "Computer System Having Security Features," Application Serial No.: 09/540,811, filed March 31, 2000, "Operating System Independent Method and Apparatus for Graphical Remote Access," Application Serial No.: 09/438,253, filed November 12, 1999, "USB Virtual Devices," Application Serial No.: 09/544,573, filed April 6, 2000, and "Video Eavesdropping and Reverse Assembly to Transmit Video Action to a Remote Console," Application Serial No.: 08/733,254, filed October 18, 1996.


Furthermore, the references listed on the attached Form 1449 are not to be construed as an admission that these references qualify as prior art as to the above-referenced application or any related application. Rather, these references are being presented for the Examiner's consideration without prejudice to Applicant's right to demonstrate that any of these references do not qualify as prior art should the Examiner choose to apply any of these references.

The following information is listed below in accordance with 37 C.F.R. §1.98. Any explanation of non-English language documents contained in this Information Disclosure Statement

is believed to constitute a concise explanation of the relevance of the listed reference as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the listed reference, in accordance with 37 C.F.R. § 1.98(a)(3).

Respectfully submitted,

Date: April 4, 2002

  
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<b>Form PTO-1449</b> (modified)	<b>ATTY. DOCKET NO.</b> COMP:0272 (P01-3946)	<b>SERIAL NO.</b> 10/037,491
List of Patents and Publications For Applicant's Information Disclosure Statement	<b>APPLICANT</b> Christopher J. Franz et al.	
(Use several sheets if necessary)	<b>FILING DATE</b> January 4, 2002	<b>GROUP</b>

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#### U.S. PATENT DOCUMENTS

EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A	5,898,861	04/27/99	Emerson et al.	395	500	10/18/96
	B	5,790,895	08/04/98	Krontz et al.	395	884	10/18/96

#### FOREIGN PATENT DOCUMENTS

EXAM. INIT.	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION

#### OTHER ART

(Author, Title, Journal, Volume, Pertinent Pages, & Date)		
A	Angelo et al., "Method and Apparatus to Provide Enhanced Computer Protection," Application Serial Number: 09/540,697, filed March 31, 2000.	
B	Angelo et al., "Method and Apparatus for Providing Enhanced Computer Security," Application Serial Number: 09/540,812, filed March 31, 2000.	
C	Angelo et al., "Computer System Having Security Features," Application Serial Number: 09/540,811, filed March 31, 2000.	
D	Emerson et al., "Operating System Independent Method and Apparatus for Graphical Remote Access," Application Serial Number: 09/438,253, filed November 12, 1999.	
E	Frantz et al., "USB Virtual Devices," Application Serial No.: 09/544,573, filed April 6, 2000.	
F	Emerson et al., "Video Eavesdropping and Reverse Assembly to Transmit Video Action to a Remote Console," Application Serial Number: 08/733,254, filed October 18, 1996.	
G	"Compaq Remote System Management for Industry-Standard Servers," Compaq Computer Corporation Technology Brief, September 2001.	
H	"Remote Server Management with Integrated Remote Console," Compaq Computer Corporation Technology Brief, October 21, 1996.	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement--PTO-1449 (Modified)